



17<sup>th</sup> **ALB VIRTUAL**

# Hong Kong In-House Legal Summit 2020

9 December 2020



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Returning to Hong Kong for the 17th annual run and going virtual for the very first time, Asian Legal Business invites you to join our Hong Kong flagship event of the year, the **ALB Virtual Hong Kong In-House Legal Summit 2020** taking place on **9 December 2020**.

This signature annual summit gathers together in-house counsels, legal practitioners and industry experts to discuss recent regulatory changes, hot pressing legal issues and best practice solutions in Hong Kong and the region.

## Target Audience

Chief Legal Officers, Chief Compliance Officers, CEO, Company Secretaries, Country/ General / Corporate / In House / Legal Counsels / Heads and Senior Professionals from:

- Legal Affairs
- Corporate Affairs
- Regulatory Affairs
- Company/ Contract Law
- Legal Risk Management
- Commercial and Enforcement Counsel
- Corporate Governance
- Compliance

## PROGRAMME AGENDA

**11:00am**

**Registration**

**11:20am**

**Welcoming Remarks by the Summit Chairperson (5mins)**

**Elizabeth Beattie**, North Asia Journalist, **Asian Legal Business**

**11:25am**

**Session 1 (45mins)**

**Anticipating the Latest U.S. Trade Sanctions and Export Control Rules – Regulatory Updates, Compliance Expectations and Enforcement Practices**

- Latest trade sanctions developments in Asia and compliance expectations
- Examining the effectiveness of sanctions to date
- Developing effective sanction screening and compliance programmes
- Engaging due diligence on third parties and business partners in view of recent U.S. export control blacklists
- From being sanctioned to sanctioning - Updates on Hong Kong and Greater China's regulatory policies
- Lessons from cases of recent trade restrictions and enforcement actions in Asia
- Strategies to mitigate risks of economic sanctions within your organization

**Wendy Wysong**, Partner, **Steptoe & Johnson LLP**

**12:10am**

**Virtual Break (10mins)**

**12:20am**

**Session 2 (45mins)**

**Innovative Approaches to Drive Compliance Effectiveness and Return on Investment**

- Key findings from AlixPartners 2020 Compliance Survey
- Lessons learned from selected recent enforcement events and investor views

- Potential opportunities and innovative approaches for managing financial crime risk
- Building robust compliance culture as the backbone of the compliance program

**Cliff Lam**, Director, **AlixPartners**

**1:05pm**

**Lunch Break (60mins)**

**2:05pm**

**Session 3 (50mins)**

**Fireside Chat: Accelerating Digital Transformation for your In-house Legal Team - Developing Effective Legal Operations and Processes for the New Working Normal**

- Driving operational transformation to innovate and reinvigorate your legal team: How can in-house lawyers always remain ready for what's ahead?
- Building highly efficient corporate legal teams during transformative and disruptive times
- Enabling environments that foster online collaboration – both internally and externally
- Improving synergy between the legal team and legal operation team
- Implementing successful remote work processes and protocols

**Moderator**

**Polly Poon**, Head of Proposition, Legal Tracker, **Thomson Reuters**

**Speaker**

**Danny Kan**, Chief Counsel cum Joint Company Secretary, **Ping An Group**

**2:55pm**

**Virtual Break (10mins)**

**3:05pm**

**Session 4 (70mins)**

**Crisis Management and Leadership in Uncertain Times – Preparing for Business Risks and Adapting to Sudden Disruptions**

- Examining the long-term impacts of the current crisis on global businesses and workforces
- Creating crisis management plans, teams and structures for rapid response to sudden business disruptions
- Post pandemic - How can boards lead their companies to better prepare for future interruptions that may become more frequent or further complicated
- What is the legal community doing to identify and address business risks and supply chain vulnerabilities?
- What should in-house counsel be doing now to prepare for the post-COVID-19 workforce?
- COVID-19 Crisis – Opportunity to promote in-house adoption of digital transformation strategies and lawtech? s

**Moderator**

**Brian Tang**, Managing Director, ACMI and Founding Executive Director, **LITE Lab@HKU**

**Speakers**

- **Suen Son Poon**, Chief Operating Officer & General Counsel, **Income Partners Asset**
- **Margaret Yan**, Director - General Counsel & Company Secretary, **Hang Lung Properties Limited**
- **Matt Harper**, General Counsel (Asia), **Hays**
- **David Yongdae Lee**, Head of Anti-Bribery & Corruption ASP, Financial Crime Compliance, Asia Pacific, **The Hongkong and Shanghai Banking Corporation Limited**

**4:15pm**

**Closing Remarks by the Summit Chairperson (5mins)**

**Elizabeth Beattie**, North Asia Journalist, **Asian Legal Business**

**4:20pm**

**End of ALB Virtual Hong Kong In-House Legal Summit 2020**



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## Summit Chairperson



**Elizabeth Beattie**  
North Asia Journalist,  
Asian Legal Business

Elizabeth Beattie is a journalist at Thomson Reuters in Hong Kong, where she covers the business of law for Asian Legal Business. Prior to this she lived and worked in Australia and New Zealand and has written for publications including the Guardian and the UK Sunday Telegraph.

## Speakers



**David Yongdae Lee**  
Head of Anti-Bribery & Corruption ASP, Financial Crime Compliance, Asia Pacific  
**The Hongkong and Shanghai Banking Corporation Limited**

David Yongdae Lee is the Regional Head of Anti-Bribery & Corruption at HSBC, where he has been in the role since March of 2017. Prior to that, he was at Bank of America Merrill Lynch for approximately 10 years, where he was the AB&C lead for their global corporate political activities, including government relations and public policy lobbying, and the public sector banking and municipal finance business. Before that, he was an attorney in private practice, representing governmental contractors in the finance and aerospace industries. David received his undergraduate degree from Yale University and his juris doctorate from Columbia Law School.

## Speakers



**Wendy Wysong**  
Partner  
**Steptoe & Johnson LLP**

Wendy Wysong is the managing partner of Steptoe's Hong Kong office. She is a former Assistant US Attorney in Washington and Deputy Assistant Secretary for Export Enforcement in the Department of Commerce's Bureau of Industry & Security (BIS).

Wendy focuses her practice on regulatory compliance and white-collar defense of international laws, including the US Foreign Corrupt Practices Act (FCPA), International Traffic in Arms Regulations (ITAR), Export Administration Regulations (EAR), US sanctions laws and regulations administered by the Office of Foreign Assets Control (OFAC), and US anti-boycott laws, as well as government fraud and public corruption. She represents clients throughout the United States and Asia in the logistics, defense, aerospace, healthcare, telecommunications, gaming, consumer goods, and energy sectors.



**Suen Son Poon**  
Chief Operating Officer & General Counsel  
**Income Partners Asset**

Suen Son Poon joined Income Partners in 2016 as General Counsel and Chief Compliance Officer. In October 2017 he was promoted to the position of Chief Operating Officer and in his capacity as Chief Operating Officer, is also responsible for overseeing administration, IT, middle and back office.

Prior to joining Income Partners, Suen practiced as an in-house lawyer and compliance officer at the Hong Kong office of several prominent investment firms, including Hillhouse Capital Management, Brevan Howard Capital Management and Millennium Management. Suen is qualified to practice law in Hong Kong and New York. His practice includes advising on all aspects of regulatory compliance, counterparty fund documentation, private equity investments and on asset management, corporate and securities laws.

Suen is qualified to practice law in Hong Kong S.A.R. and New York, USA. Suen holds a Bachelors of Law from the University of Nottingham, UK and a Master of Laws from the City University of Hong Kong. Suen is also a part-time lecturer in law at the City University of Hong Kong.



**Cliff Lam**  
Director  
**Alix Partners**

Cliff has over a decade of experience in advising law firms and corporate clients on internal and government investigations, shareholder disputes, financial-crime compliance-related matters, corporate monitorships, and remediation projects. He brings unique experience and expertise to his clients by providing principle-based advice yet with pragmatic and innovative solutions. Before joining AlixPartners, he was regional head of fraud risk management at Standard Chartered Bank for the Greater China and North Asia regions. Cliff has a bachelor's degree in economics and finance from the University of Hong Kong. He speaks Mandarin, English, and Cantonese and is a member of the Hong Kong Institute of Certified Public Accountants and the Association of Certified Fraud Examiners.

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## Speakers



**Margaret Yan**  
*Director - General Counsel &  
Company Secretary*  
**Hang Lung Properties  
Limited**

Ms. Margaret Yan joined the Group in 2017. She possesses over 30 years of legal advisory relevant experience. Ms. Yan is a solicitor qualified to practice in Hong Kong. She holds a Postgraduate Certificate in Laws and a Bachelor of Laws degree from The University of Hong Kong. She is also a member of The Law Society of Hong Kong, The Law Society of England & Wales and The Law Society of ACT, Australia



**Matt Harper**  
*General Counsel (Asia)*  
**Hays**

Matt Harper is the General Counsel responsible for Hays' legal affairs in Asia. He manages a team of 10 across the region and is a member of the Asia management board.

Prior to moving in-house, Matt practised for five years as a financial services specialist in top tier firms Clayton Utz in Australia and DLA Piper in New Zealand. Matt has over nine years' experience working as an in-house counsel for large multinational corporations.

As an in-house counsel working with the world's leading recruitment experts, Matt spends a lot of time dealing with cyber security, data protection and privacy related matters. Matt holds a bachelor of laws and a bachelor of commerce from the University of Auckland.



**Danny Kan**  
*Chief Counsel cum Joint  
Company Secretary*  
**Ping An Group**

Danny is currently Chief Counsel cum Joint Company Secretary of Ping An Insurance (Group) Company of China, Ltd. ("Ping An Group"), a company listed on both the Hong Kong and Shanghai stock exchanges. Before joining Ping An Group, Danny was Counsel at Linklaters, specializing in corporate and securities laws with a focus in the telecommunications, media & technology (TMT) sector. He has advised some of the most high-profile, complex and award-winning transactions in Asia.

Danny is also a software engineer by training. With his in-depth knowledge in both the technology and legal sectors, Danny has been spearheading numerous LegalTech and RegTech projects, championing the use of technology to manage legal and compliance risks as well as improving the efficiency of legal work of large conglomerates.

Danny has been driving the development of company and financial laws in the region – he is currently a member of the Company Law Committee of the Law Society of Hong Kong and a fellow of the Asian Institute of International Financial Law. In addition, he actively contributes to the establishment of industry standards for cross-border data transfers through participating in the Institute of Big Data Governance.



**Brian Tang**  
*Managing Director, ACMI and  
Founding Executive Director*  
**LITE Lab@HKU**

Brian W Tang is an innovator, educator and ecosystem-builder who has worked in the confluence of law, technology and finance in Hong Kong, New York, Silicon Valley, and Australia.

Brian is managing director of ACMI and founding executive director of LITE Lab@HKU. He is also co-chair of the Fintech Association of Hong Kong's Regtech Committee and co-founder of the APAC Regtech Network. Brian is also an author of The Fintech Book and The Regtech Book and judge for the Regulation Asia RegTech Awards for Excellence 2019.

Brian was previously at investment bank Credit Suisse and law firm Sullivan & Cromwell.



**Polly Poon**  
*Head of Proposition, Legal  
Tracker, Asia and Emerging  
Markets*  
**Thomson Reuters**

Polly Poon started her career in legal technology as an engineer in Sydney before joining Thomson Reuters in 2010. Polly relocated from Sydney to Hong Kong in 2014, and currently holds the position of Head of Proposition for Legal Tracker (formerly Serengeti Tracker) for the Asia Pacific region, working across the many countries that make up Thomson Reuters Asia and Emerging Markets.

Polly also is the Hong Kong chapter lead for Women@TR. She is enthusiastic in challenging stereotypes and bias issues and excited to celebrate women's achievements.

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