



ALB

China Corporate Compliance Forum 中国企业合规论坛

26 November 2020 - Shanghai | 2020年11月26日 - 上海



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Agenda 会议日程

Timing	AGENDA
8:30 – 9:00	Registration and Networking Refreshments 注册签到
9:00 – 9:20	Welcoming Remarks by the Conference Chair 大会主席开幕致辞 <i>Liu Fang, Vice President, General Counsel & Chief Compliance Officer, NIO Co., Ltd.</i> 刘昉, 总法务顾问, 首席合规官, 集团副总裁, 上海蔚来汽车有限公司
9:20 – 10:05	GC Panel Discussion (45 mins) 话题讨论 Corporate Compliance: Current Challenges and Trends in the Post COVID Era 企业合规：疫情下的挑战和后疫情时代的应对 <ul style="list-style-type: none"> • 业务压力的增加是否引发了风险的偏好 • 疫情下及后疫情时代企业突出的合规风险 • 从行业出发，未来的合规监管趋势 Panelists 讨论嘉宾 <i>Joseph Chan, Chief Legal Officer, Yum China</i> 陈永坚, 首席法务官, 百胜中国 <i>Liu Fang, Vice President, General Counsel & Chief Compliance Officer, NIO Co., Ltd.</i> 刘昉, 总法务顾问, 首席合规官, 集团副总裁, 上海蔚来汽车有限公司 <i>Richie Tong, Head of Compliance, Bayer</i> 童鸣, 合规总监, 拜耳
10:05 – 11:05	Presentation (60 mins) 主题演讲 - JunHe LLP 君合律师事务所 Antitrust Compliance and Prevention and Response to Antitrust Key Risks 经营者反垄断合规重点风险防范与应对

	<ul style="list-style-type: none"> • Antitrust compliance and the key risks for operators 经营者反垄断合规风险重点 • Noteworthy antitrust compliance issues in M & A transactions 并购交易中值得关注的反垄断合规问题 <p><i>Wei Yingling, Partner, JunHe LLP</i> 魏瑛玲, 合伙人, 君合律师事务所</p> <p><i>Gong Mingfang, Partner, JunHe LLP</i> 巩明芳, 合伙人, 君合律师事务所</p> <p><i>Bai Xuefei, Partner, JunHe LLP</i> 白雪斐, 合伙人, 君合律师事务所</p>
11:05 – 11:25	Refreshment Break 茶歇
11:25 – 12:25	<p>Presentation (60 mins) 主题演讲 - Hui Ye Law Firm 汇业律师事务所</p> <p>In the Context of Greater Compliance, How to Establish an Effective Corporate Compliance System to Boost Enterprise Development? 大合规背景下, 企业如何建立行之有效的合规管理体系</p> <ul style="list-style-type: none"> • Big compliance 大合规 • Compliance system 合规管理体系 • How to launch and implement the compliance system effectively 合规管理体系有效运行 <p><i>Paulo Guo, Senior Partner, Hui Ye Law Firm</i> 郭青红, 高级合伙人, 汇业律师事务所</p>
12:25 – 13:30	Networking Luncheon 交流午餐
13:30 – 14:15	<p>Presentation (45 mins) 主题演讲 - Steptoe & Johnson LLP 世强律师事务所</p> <p>US Economic Sanctions & Export Controls 美国的经济制裁和出口管制</p> <ul style="list-style-type: none"> • Basic framework of the U.S. export controls and economic sanctions, and the various screening lists and the legal consequences if violated 美国出口管制和经济制裁的基本框架, 各种限制性清单及其法律后果 • Typical law enforcement cases related to the U.S. export controls and economic sanctions 美国出口管制和经济制裁执法典型案例 • Common and potential risks of Chinese enterprises' business practices, and the possible sanctions resulted therefrom 中国企业商业行为的常见及潜在的风险, 及可能引发的制裁 <p><i>Richard Battaglia, Managing Partner of Beijing Representative Office, Steptoe & Johnson LLP</i></p>

	柏富，北京办公室管理合伙人，世强律师事务所
14:15 – 15:15	<p>Presentation (60mins) 主题演讲 - Co-effort Law Firm 协力律师事务所</p> <p>Development trends of International Commercial Dispute Resolution and Key Points of Enterprises' Risk Management 国际商事纠纷解决的发展趋势与企业的风险管理要点</p> <ul style="list-style-type: none"> • Introduction of the Singapore Convention on Mediation 新加坡调解公约主要内容及适用要点 • Latest International Commercial Dispute Cases and Risks Enterprises Should Avoid 最新国际商事纠纷案例及企业应注意规避的风险点 • Summary 总结 <p><i>Grace Zheng, Senior Partner, Co-effort Law Firm</i> 郑蕾，高级合伙人，协力律师事务所</p>
15:15 – 15:35	Refreshment Break 茶歇
15:35 – 16:35	<p>Presentation (60mins) 主题演讲 - JunHe LLP 君合律师事务所</p> <p>Establishment and Practice of Export Compliance System for Enterprises under the New Situation 新形势下企业出口合规体系构建以及实践</p> <ul style="list-style-type: none"> • 备受关注的中国《出口管制法》、限制技术出口及不可靠实体清单给企业经营带来的影响 • 企业如何高效构建出口合规体系，不同企业的特别关注 • 合规尽职调查的实践 • 如何在中美两国贸易管制下保护自身的利益 <p><i>David Tang, Partner, JunHe LLP</i> 汤伟洋，合伙人，君合律师事务所</p>
16:35 – 16:45	End of ALB China Corporate Compliance Forum 2020 ALB中国企业合规论坛闭幕

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